

Appendix 9

to Appendix to Regulation 10/41 “Procedure for Issuing a Permit to Management of a Non-Public Investment Fund”

REFERENCE INFORMATION About the Individuals Affiliated with the Entity Responsible for Managing a Non-Public Fund

1. Affiliation basis <i>(this part to include information about the entity through which the individual acts as an affiliated party)</i>	
Name, surname, passport details)	
2. Information about the affiliated individual <i>(the affiliation should be construed pursuant to Article 3(36) of the Republic of Armenia Law “On the Securities Market”; note that when completing this Reference Information, the father, mother, spouse and adult children shall be considered members of the same family)</i>	
1) Name, surname	
2) Place of residence	
3) Passport details	
4) Type of affiliation	
3. Other information	

1) Does the individual have any outstanding liabilities that are 30 days or more overdue?

☐ Yes (Please provide details)

☐ No

2) Other information which you think is important

4. I assure that the information contained in this document is true and complete. I understand that any false presentation of documents or information shall lead to criminal and administrative liability as prescribed by law.

I agree to notify the Central Bank of Armenia of any change in the aforementioned information provided by me.

Name, surname of the person
authorized by the entity
responsible for managing a non-
public fund

Signature

Date

day/month/year