

Appendix 8

to Appendix to Regulation 10/41 “Procedure for Issuing a Permit to Management of a Non-Public Investment Fund”

REFERENCE INFORMATION

About the Legal Entities Affiliated with the Entity Responsible for Managing a Non-Public Fund

1. Affiliation basis <i>(this part to include information about the entity through which the legal entity acts as an affiliated party)</i>			
Name of the entity (name, surname, passport details) _____			
2. Information about the affiliated legal entity/organization <i>(the affiliation should be construed pursuant to Article 3(36) of the Republic of Armenia Law “On the Securities Market”; note that when completing this Reference Information, parents, spouse and children shall be considered members of the same family)</i>			
1) Name _____			
2) Location _____			
3) Date of registration _____ place _____ number _____ day/month/year			
4) Type of affiliation _____			
3. Information about significant shareholders of the affiliated legal entity <i>(the Central Bank of Armenia may additionally request financial reports of the organizations specified in point 3.1)</i>			
1) Legal entities holding 10 percent and more of voting shares:			
Name of the organization	Place/location	Amount of participation (in AMD)	Size of participation (%)

2) Individuals holding 10 percent and more of voting shares:				
Name, surname, passport details		Place of residence		Amount of participation (in AMD)
				Size of participation (%)
4. Information about the managers (chairman and members of the board, executive director and chief accountant) of the affiliated legal entity (<i>the Central Bank of Armenia may additionally require that Appendix 4 to Regulation 10/41 also be completed for managers of the organization</i>)				
Name, surname, passport details		Position		Years served in the organization (of which, in the position mentioned)
5. Other information				
1) Does the affiliated legal entity have any outstanding liabilities that are 30 days or more overdue?				
☐ Yes (please provide details) ☐ No				
2) Other information which you think is important				

6. I assure that the information contained in this document is true and complete. I understand that any false presentation of documents or information shall lead to criminal and administrative liability as prescribed by law.

I agree to notify the Central Bank of Armenia of any change in the aforementioned information provided by me.

Name, surname of the person authorized
by the entity responsible for managing a
non-public fund _____

Signature _____

Date _____
day/month/year