

Appendix 14

to Appendix to Regulation 10/41 “Procedure for Issuing a Permit to Management of a Non-Public Investment Fund”

REFERENCE INFORMATION

About the Parties Holding a Significant Participation in the Statutory Capital of the Non-Resident Manager Entity

1. Information on legal entities, states and international organizations holding 10 percent or more of the voting shares in the statutory capital of the non-resident manager entity						
Name of the organization (state)		Place/location		Amount of participation (in AMD)		Size of participation (%)
2. Information on individuals holding 10 percent or more of the voting shares in the statutory capital of the non-resident manager entity						
Name, surname, passport details		Place of residence		Amount of participation (in AMD)		Size of participation (%)
3. Information on indirect significant participants that are legal entities with a non-resident manager entity (the Central Bank of Armenia may want to request additional information)						
Name of the organization		Place/location		Indicate information about the parties through which the legal entity qualifies as an indirect significant participant (such as the party's name, or name, surname and passport details)		Indicate the sub-clause of Part 34 of Article 3 of the Republic of Armenia Law “On the Securities Market” on the basis of which the party has qualified as an indirect significant participant.

4. Information on indirect significant participants that are individuals with a non-resident manager entity (the Central Bank of Armenia may want to request additional information)

Name, surname, passport details		Place of residence		Indicate information about the parties through which the individual qualifies as an indirect significant participant (such as the individual's name, surname and passport details)	Indicate the sub-clause of Part 34 of Article 3 of the Republic of Armenia Law "On the Securities Market" on the basis of which the individual has qualified as an indirect significant participant.

5. Do the parties specified in this Reference Information have any outstanding liabilities that are 30 days or more overdue?

- ☐ Yes (please provide details)
☐ No

6. Do the grounds, as outlined in Article 55(1) of the Republic of Armenia Law "On the Securities Market" and the related regulatory acts adopted pursuant thereto, lack?

- ☐ Yes
☐ No (please provide details)

7. We assure that the information contained in this document is true and complete. We understand that any false presentation of documents or information shall lead to criminal and administrative liability as prescribed by law.

We agree to notify the Central Bank of Armenia of any change in the aforementioned information provided by us.

Name, surname of the authorized person of non-resident manager entity

Signature: _____ Date: _____
day/month/year