

to Appendix to Regulation 10/41 “Procedure for Issuing a Permit to Management of a Non-Public Investment Fund”

DECLARATION

(Name of the Entity Responsible for Managing a Non-Public Fund)

About the Beneficial Owner

1. Name, surname, father's name
2. Citizenship (If you hold citizenship of another country, please indicate all countries of citizenship)
3. Residency (If you are a resident of another country, please indicate all countries of residency)
3. Date of birth (day/month/year)
4. Identification document information ○ Passport details - Details of other passports in use (if any)
5. Please indicate: ○ Public services number (the number of the Reference stating that no public services number has been obtained) ○ Not applicable
6. Please indicate: ○ Place of registration ○ Address of actual residence
7. Means of communication ○ Phone number

✓ mobile phone ✓ other ○ E-mail address
8. Date of qualifying as a beneficial owner (day/month/year)
9. The grounds for qualifying as a beneficial owner, in accordance with the Republic of Armenia Law “On Combating Money Laundering and Terrorism Financing” (please provide details and, where subpoints 1 to 4 of this point are to be completed, also indicate the amount of participation); if qualified as a beneficial owner on multiple grounds, all applicable grounds shall be noted in the relevant subpoints: ○ 1) directly owns 20 percent or more of the voting shares (stocks, units) of the entity responsible for managing the non-public fund, or ○ 2) directly owns 20 percent or more of the voting shares (stocks, units) of the entity responsible for managing the non-public fund, or ○ 3) directly holds 20 percent or more participation in the statutory capital of the entity responsible for managing the non-public fund, or ○ 4) indirectly holds 20 percent or more participation in the statutory capital of the entity responsible for managing the non-public fund, or ○ 5) exercises real (de facto) control over the entity responsible for managing the non-public fund by other means, ○ 6) acts as an official who manages general or day-to-day activities of the entity responsible for managing the non-public fund in the event no individual to meet the requirements of subpoints 1, 2, 3, 4, and 5 of this point is in place.
10. Information on intermediate legal entities (an intermediate legal entity is an entity responsible for managing the non-public fund and any other legal entity acting in the beneficial ownership chain): ○ data on state registration of the legal entity, ○ name, surname of the head of the executive body of the legal entity.
11. Is the beneficial owner included in the lists of persons related to terrorism or proliferation of weapons of mass destruction published under or in accordance with the United Nations Security Council resolutions? ○ No ○ Yes (please provide details (date (day/month/year), legal grounds)
12. Has the beneficial owner ever been convicted of committing an intentional crime? ○ No ○ Yes (please provide details, including the circumstance where the conviction has been removed or expunged in accordance with the law)
13. Other information which you think is important

14. We hereby certify that we are aware and have in the meantime informed the beneficial owner under this Appendix about that:

- the information contained in this document is true and complete;
- any false presentation of documents or information shall lead to criminal and administrative liability as prescribed by law;
- the data presented in the declaration, including personal data, may be transferred or disclosed by the Central Bank of Armenia to the authority in charge of state registration of legal entities;
- the entity responsible for managing the non-public fund will notify the Central Bank of Armenia of any change in the information presented.

Competent management body:

Date (day/month/year):

Signature:
